

# Math 409 Midterm 1

Name: \_\_\_\_\_

This exam has 3 questions, for a total of 100 points.

Please answer each question in the space provided. No aids are permitted.

**Question 1. (40 pts)**

In each of the following eight cases, indicate whether the given statement is true or false. No justification is necessary.

- (a) Any finite subset of  $\mathbb{R}$  has a least element.

**Solution:** True.

- (b) If  $E$  is a nonempty set such that there exists a one-to-one function  $f: \mathbb{N} \rightarrow E$ , then  $E$  is countable.

**Solution:** False.

- (c) If  $A$  is a nonempty subset of  $B$ , then there exists a surjective function  $g: B \rightarrow A$ .

**Solution:** True.

- (d) Let  $A$  be a bounded nonempty subset of  $\mathbb{R}$ . If  $B = \{x^3 \mid x \in A\}$ , then we have  $\sup B = (\sup A)^3$ .

**Solution:** True.

- (e) Let  $f: \mathbb{R} \rightarrow \mathbb{R}$  be defined by  $f(x) = x^2 + x$ . Then  $f([-1, 1]) = [0, 2]$ .

**Solution:** False.

- (f) Let  $E$  be a nonempty subset of  $\mathbb{R}$ . Suppose  $E$  has a finite supremum and  $\sup E \notin E$ . Then  $E$  is an infinite set.

**Solution:** True.

- (g) Let  $A$  be a nonempty subset of  $\mathbb{R}$ . If every number in  $A$  is positive, then  $A$  has a finite infimum.

**Solution:** True.

- (h) There does not exist a one-to-one function from  $\mathbb{R}$  to  $\mathbb{N}$ .

**Solution:** True.

**Question 2. (25 pts)**

- (a) State the Archimedean principle.

**Solution:** If  $a, b \in \mathbb{R}$  with  $a > 0$ , then there exists  $n \in \mathbb{N}$  such that  $b < na$ .

- (b) Prove that for a given  $\varepsilon > 0$ , there exists  $N \in \mathbb{N}$  such that

$$\frac{1}{n} < \varepsilon$$

for all  $n \geq N$ .

**Solution:** Let  $a = 1$  and  $b = \frac{1}{\varepsilon}$ , then by the Archimedean principle there exists  $N \in \mathbb{N}$  such that

$$b < N \cdot 1 = N.$$

It follows that  $b < n$  for all  $n \geq N$ , or equivalently

$$\frac{1}{n} < \varepsilon$$

for all  $n \geq N$ .

**Question 3. (35 pts)**

- (a) State the completeness axiom for  $\mathbb{R}$ .

**Solution:** For every nonempty subset  $E \subset \mathbb{R}$ , if  $E$  is bounded above, then  $E$  has a finite supremum.

- (b) Let  $S$  be a bounded nonempty subset of  $\mathbb{R}$ , and let  $a$  and  $b$  be fixed real numbers. Define  $T = \{as + b \mid s \in S\}$ . Find the formulas for  $\sup T$  and  $\inf T$  in terms of  $\sup S$  and  $\inf S$ . (Just the formulas, no justification is required for this part.)

**Solution:**

- (1) If  $a > 0$ , then  $\sup T = a(\sup S) + b$  and  $\inf T = a(\inf S) + b$ .  
(2) If  $a = 0$ , then  $\sup T = \inf T = b$ .  
(3) If  $a < 0$ , then  $\sup T = a(\inf S) + b$  and  $\inf T = a(\sup S) + b$ .

- (c) Let  $A$  and  $B$  be two nonempty subsets of  $\mathbb{R}$ . Define

$$A + B = \{a + b \mid a \in A \text{ and } b \in B\}.$$

Prove that if both  $A$  and  $B$  are bounded above, then  $\sup(A + B) = \sup A + \sup B$ .

**Solution:** (1) Since  $a \leq \sup A$  for all  $a \in A$  and  $b \leq \sup B$  for  $b \in B$ , we have

$$a + b \leq \sup A + \sup B$$

for all  $a \in A$  and  $b \in B$ . Thus  $\sup A + \sup B$  is an upper bound of  $A + B$ . Thus  $\sup A + \sup B \geq \sup(A + B)$ .

(2) On the other hand, by the approximation property for suprema, for  $\forall \varepsilon > 0$ , there exists  $a \in A$  such that

$$\sup A - \varepsilon/2 < a \leq \sup A;$$

and similarly, there exists  $b \in B$  such that

$$\sup B - \varepsilon/2 < b \leq \sup B.$$

It follows that for  $\forall \varepsilon > 0$ , there exists  $a \in A$  and  $b \in B$  such that

$$\sup A + \sup B - \varepsilon < a + b \leq \sup A + \sup B.$$

This implies

$$\sup A + \sup B - \varepsilon \leq \sup(A + B)$$

for all  $\varepsilon > 0$ , since we always have  $a + b \leq \sup(A + B)$ . Therefore,  $\sup A + \sup B \leq \sup(A + B)$ .

Combining (1) and (2), we see that  $\sup A + \sup B = \sup(A + B)$ .